



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Peter M. Glazer, and Pamela A. Havre

RECEIVED

Serial No.:

09/783,338

Art Unit:

1637

DEC 0 6 2002

TECH CENTER 1600/2900

Filed:

February 14, 2001

Examiner:

Jeffrey Norman Fredman

For:

"CHEMICALLY MODIFIED OLIGONUCLEOTIDE FOR SITE-DIRECTED

MUTAGENESIS"

Assistant Commissioner for Patents Washington, D.C. 20231

Transmittal of Sequence Listing for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, Amendment and Declaration under 37 C.F.R. § 1.821 (f)

Sir:

Applicants enclose a 3 & 1/2" diskette containing a computer-readable form of the Sequence Listing filed on February 14, 2001 as well as a paper copy of the Sequence Listing. The Sequence Listing has been constructed using PatentIN 3.1 software. There are only minor formatting changes resulting from differences from the earlier software version. The correct serial number of the application has been included and there are no substantive differences from the Sequence Listing filed on February 14, 2001.

U.S.S.N.: 09/783,338

Filed: February 14, 2001

Transmittal of Sequence Listing for Patent Applications

Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures,

and Declaration under 37 C.F.R. § 1.821 (f)

Remarks

Declaration under 37 C.F.R. § 1.821(f)

I declare that the material on the diskette is identical to the enclosed paper copy of the Sequence Listing and the sequences as filed in the application on February 14, 2001, that the Sequence Listing does not add new matter to the application, and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Patrea L. Pabst

Reg. No. 31,284

Dated: November 15, 2002

HOLLAND & KNIGHT LLP One Atlantic Center 1201 West Peachtree Street, N.E. Suite 2000 Atlanta, Georgia 30309-3400 404-817-8500 FAX 404-817-0470 www.hklaw.com U.S.S.N.: 09/783,338

Filed:

February 14, 2001

Transmittal of Sequence Listing for Patent Applications

Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures,

and Declaration under 37 C.F.R. § 1.821 (f)

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

/Aisha Wyatt

Date: November 15, 2002

ATL1 #551512 v1

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TRA	ANSMITTAL	Filing Date	09/783,338 02/14/2001	E¢EI
110	FORM	First Named Inventor		DEC 0 6
(to be used for a	all correspondence after initial filing		1 Ctcl IVI. Glazei	\dashv
		Examiner Name	Jeffrey Norman Fredman	CENTER :
Total Number of	of Pages in This Submission	Attomey Docket Numbe	<u> </u>	7
	EN	ICLOSURES (check	all that apply)	台
	claration(s) Request Per Ch Add X Tel Remarks g Parts/	r an Application) awing(s) ensing-related Papers tition tition to Convert to a poisional Application wer of Attorney, Revocation ange of Correspondence dress rminal Disclaimer quest for Refund 0, Number of CD(s)	Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return post-card, Sequence Listing (paper copy and 3 ½" diskette), Statements Under 37 CFR § 3.73(b)	
	SIGNATURE OF AP	PLICANT, ATTORNEY, OR	AGENT	
Firm <i>or</i> Individual name	Patrea L. Pabst, Reg. No. 3		Holland & Knight LLP	
Signature	Suite 2000, One/Atlantic Co	enter; 1201 west Peachtree St	treet, N.E.; Atlanta, GA 30309-3400	' —
-	November 15, 2002			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	55.	.00

Complete if Known					
Application Number	09/783,338	H			
Filing Date	February 14, 2001	王			
First Named Inventor	Peter M. Glazer	Ω			
Examiner Name	Jeffrey Norman Fredman	WIE TEN			
Group Art Unit	1637	罗			
Attorney Docket No.	YU 109 CON	16			

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
★ Check Credit card			3. ADDITIONAL FEES						
Deposit Account:		Large Entity Small Entity							
Deposit Account	50-1868			Fee Cod	Fee e (\$)	Fee Cod		Fee Description	Fee Paid
Number	30-1000			105	130	205	65	Surcharge - late filing fee or oath	
Deposit Account Name	Holland &	& Knight LLP		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
The Commissioner is authorized to: (check all that apply)		139	130	139	130	Non-English specification			
Charge fee(s) indicated below Credit any overpayments		147	2,520	147	2,520	For filing a request for ex parte reexamination			
Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filling fee			112	920*	112	920*	Requesting publication of SIR prior to		
_ •	entified deposit	•	19 100	440			4 0 4 0 4	Examiner action	\vdash
		ALCULATION		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	L
1. BASIC FI				115	110	215	55	Extension for reply within first month	
Large Entity				116	400	216	200	Extension for reply within second month	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description	Fee Paid	117	920	217	460	Extension for reply within third month	
101 740	201 370	Utility filing fee		118	1,440	218	720	Extension for reply within fourth month	ļ
106 330	206 165	Design filing fee		128	1,960	228	980	Extension for reply within fifth month	
107 510	207 255	Plant filing fee		119	320	219	160	Notice of Appeal	
108 740	208 370	Reissue filing fee		120	320	220	160	Filing a brief in support of an appeal	
114 160	214 80	Provisional filing fee		121	280	221	140	Request for oral hearing	<u> </u>
l '		LIBTOTAL (4) (6)	0.00	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00		140	110	240	55	Petition to revive - unavoidable	 		
2. EXTRA C	CLAIM FEES	FOR UTILITY AI		141	1,280	241	640	Petition to revive - unintentional	
	<u> </u>	Extra Claims belo	Fee Paid		1,280	242	640	Utility issue fee (or reissue)	
Total Claims Independent	9 - 20	_ = =		143	460	243	230	Design issue fee	
Claims	1 - 3	0 × 0	_=	144	620	244	310	Plant issue fee	
Multiple Deper	oent	L		122	130	122	130	Petitions to the Commissioner	
Large Entity	Small Entity			123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Fee Fee	Fee Fee	Fee Description		126	180	126	180	Submission of Information Disclosure Stmt	
Code (\$) 103 18	Code (\$) 203 9	Claims in excess of 2	20	581	40	581	40	Recording each patent assignment per property (times number of properties)	
102 84	202 42	Independent claims i	n excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280	204 140	Multiple dependent o	laim, if not paid	149	740	249	370	For each additional invention to be	
109 84	209 42	** Reissue independ over original paten						examined (37 CFR § 1.129(b))	
110 18	210 9	** Reissue claims in		179	740	279	370	Request for Continued Examination (RCE)	
		and over original p		169	900	169	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) -0-			Other	fee (s	ecify	Stat	utory Disclaimer	55.00	
**or numbo	r naviouely sois	ـــــ I, if greater; For Reissu	as san about	*Red	uced h	/ Basi	ic Filina	Fee Paid SUBTOTAL (3) (\$) 55.	00
or number	Proviously halo	, a greater, For Reissu	oo, age duuve	ِ ب			- · ·····9		

SUBMITTED BY			Complete (if applicable)
Name (Print/Type)	Patrea L. Pabst	Registration No. (Attorney/Agent) 31,284	Telephone	404 817-8473
Signature	1 /		Date	November 15, 2002

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